



JULY 25-29
INDIANAPOLIS, IN
HOSTED BY THE HOOSIER LOTTERY

Audit Agenda

Tuesday, July 26

7:00am – 7:45am

Health & Wellness Three Mile Run

NASPL and the Hoosier Lottery have contracted with Carmel Road Racing Group to guide a scenic run through Indianapolis' White River State Park along the canal that weaves its way through iconic buildings and spaces. Participants should dress comfortably in running attire and running shoes. There may be some steps involved, so please share any accessibility concerns with the CRRG guides before the run. Participants will meet in the lobby near the Missouri Street entrance, walk from the hotel to the beginning of the trail as a group, then the run will begin.

*Check-in: 6:45am in the lobby near the Missouri Street entrance
Run begins at 7:00am*

9:00am – 9:15am

Green Flag: Conference Kickoff

*Sarah Taylor, Executive Director, Hoosier Lottery
William Zielke, Commission Chair, Hoosier Lottery
Marriott Ballroom 5, Second Floor*

9:15am – 10:15am

Resilient Leadership: Energy and Engagement in Crazy Times and Beyond

*Linda Edgecombe, CSP, Hall of Fame Speaker
Marriott Ballroom 5, Second Floor*

10:15am – 10:30am

Networking Break

First & Second Floor Pre-Function Foyers

10:45am – Noon

Audit, I.T. and Security: Welcome and Opening Remarks

Darlene Brown, Partner, McConnell & Jones, LLP



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MUSL R2 and WLA SCS: The Perfect Combo

Dylan Shroll, Information Security Analyst, Multi-State Lottery Association

Marriott Ballrooms 3 & 4, Second Floor

Combined session with I.T. and Security

Noon – 1:30pm

LUNCH

Indiana Ballroom E, First Floor

1:45pm – 2:45pm

In-Lane: Now or Never!

Ryan Mindell, Lottery Operations Director, Texas Lottery Commission

Terry Presta, Head of Business, North America, ABACUS Solutions International Group

Richard Gotlieb, Vice President and General Manager, Lottery and Gaming, Blackhawk Network

Mark Smith, Vice President, InComm Payments

In-lane has been a topic of lottery discussion for years. Only recently have retailers and lotteries made concrete progress toward selling lottery tickets in-lane via their points of sale, without a terminal. Join the panel for a discussion on progress made to date, why in-lane sales remain an important opportunity, what steps lotteries can take now to prepare for the future, and why retail is the biggest untapped resource for the industry.

Marriott Ballroom 5, Second Floor

2:45pm – 3:00pm

Networking Break

First & Second Floor Pre-Function Foyers

3:15pm – 4:30pm

IGT's Data Analytics Journey

Chuck Planeta, Director of IT Audit, Data Analytics & Automation, IGT

Alzina Cua, Internal Audit Analytics Manager, IGT

Michigan/Texas, First Floor

4:45pm – 5:15pm

Powers Awards and Ott Brown Scholarship Presentation

Celebrate industry excellence with the annual Powers Awards and Ott Brown Scholarship!



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The prestigious annual NASPL Powers Awards are presented in recognition of the significant contributions lottery and vendor employees have made through exceptional job performance. NASPL also awards one lottery professional the Ott Brown Scholarship each year, enabling the recipient to participate in the NASPL Lottery Leadership Institute at no cost.

Marriott Ballroom 5, Second Floor

5:30pm – 8:00pm

Vendor Meet and Greet

As many lottery industry employees may not have the opportunity to make it to an annual conference and trade show, this evening was created to give PDS attendees a chance to meet with our industry vendors.

During this event, attendees can chat with vendors to learn more about the products and services they offer the lottery industry. Think of this event as a mini trade show reception!

Hors d'oeuvres and beverages will be provided.

Indiana Ballroom E, First Floor

Wednesday, July 27

9:45am – 10:15am

Lotteries Take on the Challenge of Corporate Responsibility

Barry Pack, Executive Director, Oregon Lottery

While private sector companies have embraced their roles as responsible corporate members of their communities, many lotteries are just now digging into what it means to have policies and programs around Corporate Social Responsibility in our unique industry and operating models. Much broader than responsible gambling, CSR helps lotteries leverage their human and financial resources to deepen their commitment to supporting and improving their communities. Find out what lotteries and NASPL are doing to take on these initiatives.

Marriott Ballroom 5, Second Floor



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10:15am – 10:30am

Networking Break

First & Second Floor Pre-Function Foyers

10:45am – 11:15am

Fundamentals of Today's Cybersecurity Threats

Kevin Ricci, Partner, Citrin Cooperman

Join Kevin Ricci, a Partner with Citrin Cooperman's Technology Risk Advisory and Cybersecurity team, as he shares his cybersecurity expertise to help you understand the fundamentals of today's cybersecurity landscape. This discussion will provide an intuitive overview of the risks that threaten your business, as well as an overview of detecting and avoiding one of the most prevalent cyber threats: spear phishing.

Attendees will leave with an understanding of the cybersecurity threats and the catastrophic repercussions that face every organization by covering key concepts, including:

- Statistics and overview of today's cybersecurity landscape
- The adversaries that businesses are facing
- The motivations and incentives of cybercriminals
- Causes and costs of a data breach
- Spear phishing detection and avoidance

Michigan/Texas, First Floor

11:30am – Noon

Don't Let Them Take a Byte: Defending Your Data Bit by Bit

Kevin Ricci, Partner, Citrin Cooperman

TRAC Partner Kevin Ricci continues his lesson on effective cybersecurity decisions. This session will provide an intuitive overview of some best practices to help minimize your cyber-risk and keep your business safe and secure.

Attendees will leave with actionable strategies for their business by covering key cybersecurity concepts, including:

- Conducting a cybersecurity risk assessment
- Managing third-party risk
- Automated monitoring tools
- Designing a security awareness training



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- Responding to and recovering from a cyberattack
- A live micro risk assessment of your business

Michigan/Texas, First Floor

Noon – 1:30pm

LUNCH

Indiana Ballroom E, First Floor

1:45pm – 3:00pm

“All the Queen’s Horses” Documentary

Marriott Ballrooms 1 & 2, Second Floor

Combined session with Accounting

3:00pm – 3:15pm

Networking Break

First & Second Floor Pre-Function Foyers

3:30pm – 4:45pm

Quality Assurance Review Certification

Steve Rosales, Vice President, Internal Audit, Louisiana Lottery Corporation

This session will provide an update of the Institute of Internal Auditor’s (IIA’s) Quality Assurance Review (QAR) program and provide training to those interested in conducting a QAR for other organizations.

Michigan/Texas, First Floor

6:00pm – 9:30pm

All Delegate Night

Indianapolis Speedway Museum

Buses depart at 5:30pm and 6:00pm.

Roundtrip shuttle transportation will be provided to and from the museum. Prior to entering the event, attendees will be given a lap around the Speedway track, and the chance to stop and kiss the bricks on the “Yard of Bricks!”

Attendees will then be dropped off at the Indianapolis Speedway Museum, with exclusive access to its collection of race cars and memorabilia featuring IndyCar, NASCAR, Formula 1, sprint and midgets, motorcycles and drag racing.

Heavy appetizers, adult and nonalcoholic beverages will be served in the atrium from 6:30pm-9:00pm.



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Thursday, July 28

7:00am – 7:45am

Health & Wellness Two Mile Walk

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Walk begins at 7:00am*

9:00am – 9:15am

White Flag Final Lap: Closing Remarks From Our Host

*Sarah Taylor, Executive Director, Hoosier Lottery
Marriott Ballroom 5, Second Floor*

9:15am – 10:15am

Race for the Future

*Sarah Fisher, Former Racecar Driver, Entrepreneur and Coach
Marriott Ballroom 5, Second Floor*

10:15am – 10:30am

Networking Break

First & Second Floor Pre-Function Foyers

10:45am – Noon

Ring Around the White Collar

L. Christopher Knight, Forensic Accountant, Federal Bureau of Investigations

Attendees will explore the not so good, the bad, and the ugly world of white-collar crime. The session begins by identifying unique characteristics of fraud. We will then explore why fraud actors become mentally detached from the crimes they perpetrate. And



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finally, attendees will learn about newer fraud trends and how they are investigated.

Marriott Ballroom 5

Combined session with Accounting, I.T., Legal and Security

Noon – 1:30pm

LUNCH

Indiana Ballroom E, First Floor

1:45pm – 3:00pm

SOC Reports: Your Ticket to Reducing Third-Party Vendor Risk

Samantha Kerwin, Director, TRAC Practice, Citrin Cooperman

This session will provide an SOC Reports Overview, with topics including:

- Type 1 vs. Type 2 Reports
 - Design Effectiveness versus Operating Effectiveness
- SOC 1 Reports: Internal Controls over Financial Reporting
- SOC 2 Reports: Controls Relevant to Trust Service Criteria (AICPA)
 - Security
 - Confidentiality
 - Availability
 - Processing Integrity
 - Privacy
- SOC 3 Reports: SOC 2 variation prepared for general audience, publicly available

Also discussed, as a Service Organization:

- Which SOC Report do I need?
- What is my responsibility as it relates to MY service providers (i.e., subservice organizations)?

And as a User Organization:

- Which SOC Report should I request from service providers?
- What should I look for in a service provider's SOC Report (i.e., How do I review)?

Michigan/Texas, First Floor

3:00pm – 3:15pm

Networking Break



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3:30pm – 4:00pm

Avoiding Scope Creep in Your Audits

Darlene Brown, Partner, McConnell & Jones, LLP

In this final session, we will discuss real audit scenarios and how to avoid scope creep to deliver timely audits that add value.

Michigan/Texas, First Floor